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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jurgen VAN HOLEN :

Serial No. NEW : Attn: APPLICATION BRANCH

Filed April 12, 2004 : Attorney Docket No. 2004 0529A

PROCESS USING A CYCLIC CARBONATE REACTANT AND  $\beta$ -HYDROXYURETHANES THEREBY OBTAINED

#### **CLAIM OF PRIORITY UNDER 35 USC 119**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant in the above-entitled application hereby claims the date of priority under the International Convention of European Patent Application No. 03009307.4, filed April 24, 2003, as acknowledged in the Declaration of this application.

A certified copy of said European Patent Application is submitted herewith.

Respectfully submitted,

Jurgen VAN HOLEN

By

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Certificate

Attestation<sup>®</sup>

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein. The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr.

Patent application No. Demande de brevet nº

03009307.4

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

R C van Dijk



Anmeldung Nr:

Application no.: 03009307.4

Demande no:

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Date of filing: 24.04.03

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Anmelder/Applicant(s)/Demandeur(s):

UCB, S.A. Allée de la Recherche 60 1070 Bruxelles BELGIQUE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description.
Si aucun titre n'est indiqué se referer à la description.)

Process using a cyclic carbonate reactant

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Process using a cyclic carbonate reactant

The present invention relates to a process wherein an electrophilic group containing cyclic carbonate compound is reacted with a compound containing a nucleophilic function. It also relates to the synthesis of the electrophilic group containing cyclic carbonate compound.

The reaction of a cyclic carbonate compound with a compound containing a nucleophtlic group, especially an amine compound, is known. First publications on that subject date from late fifties early sixties: see for example US 2,802,022, US 2,935,494 and US 3,072,613.

When an amine compound (1) is reacted with a cyclic carbonate compound containing a 5-membered ring (1.3-dioxolan-2-one ring) (2), the product obtained is a betahydroxyurethane (3 and/or 4):

$$Ra - N + Re - Rd$$

$$Re - Rd$$

$$Re - Rd$$

$$Ra - N - O - OH$$

$$Rb - Rd$$

$$Re - Rd$$

$$Ra - N - O - OH$$

$$Rb - Rd$$

$$Rd - Rd$$

Urethane groups are useful in polymer preparation, such as polyurethanes, or other urethane-containing polymers.

Compared to ordinary urethane groups, betahydroxyurethane groups can provide desirable properties to the formed polymer, namely:

- the increased resistance towards hydrolysis (Figovski.O., Improving the protective properties of non metallic corrosion resistant materials and coatings. Journal of Medeleev Chemical Society, N.Y., USA 1988 Vol 33 No 3 .pp 31-36)
  - more hydrophilic polymers

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• the extra functional groups make it possible to afterwards crosslink the polymer if desired

Another advantage of the reaction between amine and cyclic carbonate compounds is that it allows preparation of polyurethane polymers without isocyanate reactants. Such polymers are often called "non-isocyanate" polyurethane polymers. Although the use of disocyanates for the synthesis of polyurethanes is widely accepted throughout the industry, there are some disadvantages connected with the NCO chemistry:

- di-isocyanates are toxic and need special care while handled
- di-isocyanates with a <u>low vapour pressure</u> can be absorbed easily by the human body (skin, eyes, lungs) and are to be considered as very hazardous chemicals
- their production involves phosgene while HCl is emitted: this process requires extreme safety measures
- because of the hydrogenation step and the consequent purification, aliphatic diisocyanates are <u>expensive</u> and often prevent the use of polyurethanes in a given application for economical reasons.

However, cyclic carbonates react relatively slowly with amines, particularly hindered primary or secondary amines and at ambient (about 25.degree. C.) temperatures. This slow reactivity has limited the usefulness of these types of reactions, for example in coatings which crosslink at ambient temperature. Therefore, means of speeding up such reactions is desired.

A great number of catalysts speeding up such reactions have been already described in literature. For example, US 5.055.542 and US 5.132,458 recommend the use of strongly basic compounds as catalysts for reaction including less reactive secondary amines. Such a strongly basic compound is, for example, diazabicyclooctane (DABCO). So-called supranucleophilic catalysts, for example 4-pyrrolidinopyridine are also suitable here. US 5.977.262 recommends the use of potassium tert-butoxide as catalyst.

However, known catalysts are either not very effective (ammoniumsalts) and/or not suited for an industrial process (very strong bases such as potassium tert-butoxide). Moreover, some reagents (amines) are not compatible with the strong bases. On the other hand, without a catalyst the reaction takes several days.

The present invention provides a process of forming an organic compound, wherein

(a) a component (A) containing at least one cyclic carbonate group having the general formula (I):

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 $R^2$ .  $R^3$  and  $R^4$  are, independently, chosen from: hydrogen, aromatic radical, alkyl or alkenyl which contains from 0 to 8 ether bridges, and  $R^2$  and/or  $R^3$  and/or  $R^4$  may be substituted by one or more alkyl, alkenyl, aromatic radical, hydroxyl group(s), cyclic carbonate group of formula (I), and wherein Y is an electrophilic group selected from ammonium and phosphonium, eventually substituted by alkyl groups themselves eventually substituted by an aromatic radical, and wherein each  $R^2$  and/or  $R^3$  and/or  $R^4$  may be replaced by an Y group.

- (b) is reacted with a component (B) containing at least one reactive nucleophilic function X wherein each X is, independently, chosen from a primary amino or hydrazo, secondary amino or hydrazo, thiol, hydroxy, and/or oxime,
  - (c) to form an organic compound (C) containing at least one unit of the general formula (II): -X-CO-O-.

It has now surprisingly been found that the presence of at least one electrophilic group containing radical Y, attached to the cyclic carbonate through a methylene bridge, greatly enhance the reaction rate between this cyclic carbonate and a compound [B] containing a reactive nucleophilic function X, such as an amine function for example.

The reaction is sufficiently fast, which means that the reaction can be achieved at room temperature.

By component (B) containing a reactive nucleophilic function X which is a primary amino is meant any compound bearing a -NH<sub>2</sub> group attached directly to a carbon atom.

By component (B) containing a reactive nucleophilic function X which is a secondary amino is meant any compound bearing a -NH group attached directly to 2 carbon atoms.

By component (B) containing a reactive nucleophilic function X which is a thiol is meant any compound bearing a -SH group attached directly to a carbon atom.

By component (B) containing a reactive nucleophilic function X which is an hydroxy is meant any compound bearing a -OH group attached directly to a carbon atom.

By component (B) containing a reactive nucleophilic function X which is an oxime is meant any compound bearing a =N-OH group attached directly to a C atom.

The term "alkyl", as used herein, is defined as including saturated, monovalent hydrocarbon radicals having straight, branched or cyclic moleties or combinations thereof and containing 1 to 50 carbon atoms.

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The term "alkenyl" as used herein, is defined as including straight and cyclic, branched and unbranched, unsaturated hydrocarbon radicals having at least one double bond and containing from 2 to 50 carbon atoms; such as ethenyl (= vinyl), 1-methyl-1-ethenyl, 2-methyl-1-propenyl, 1-propenyl, 2-propenyl (= allyl), 1-butenyl, 2-butenyl, 3-butenyl, 4-pentenyl, 1-methyl-4-pentenyl, 3-methyl-1-pentenyl, 1-hexenyl, 2-hexenyl, and the like.

The term "aryl" as used herein, is defined as including an organic radical derived from an aromatic hydrocarbon comprising 1 or more rings by removal of one hydrogen, and containing from 5 to 30 carbon atoms, such as phenyl and naphthyl.

The term "aromatic radical" as used herein, is defined as comprising a combination of alkyl or alkenyl and aryl, such as benzyl.

The term "alkylene" as used herein, is defined as including saturated, divalent hydrocarbon radicals having straight, branched or cyclic moieties or combinations thereof and containing 1 to 50 carbon atoms.

The term "alkenylene" as used herein, is defined as including unsaturated, divalent hydrocarbon radicals having straight, branched or cyclic moieties or combinations thereof, containing at least one carbon-carbon double bond and containing 1 to 50 carbon atoms.

The term "arylene" as used herein, is defined as including divalent radicals derived from an aromatic hydrocarbon comprising one or more rings by removal of two hydrogen atoms and containing from 5 to 30 carbon atoms.

The term "aralkylene" as used herein, represents a divalent radical comprising a combination of alkylene and arylene moieties.

By alkyl, alkenyl, alkylene, alkenylene, arylene and aralkylene containing an ether bridge is meant an alkyl, alkenyl, alkylene, alkenylene, arylene or aralkylene radical wherein a carbon atom is replaced by an oxygen atom, forming a group such as -C-O-C-.

By alkyl, alkylene, alkenylene, arylene and aralkylene chain containing tertiary amine bridge is meant such radical wherein a tertiary amine group is present between 2 carbon atoms, forming a group of formula -C-NR-C-, wherein R represents an alkyl or aryl group. In that case, R is preferably an alkyl group containing from 1 to 15 carbon atoms.

By alkylene, alkenylene, arylene and aralkylene containing a -CO-O- bridge is meant an

30 alkylene, alkenylene, arylene or aralkylene radical wherein a —C—O— group is present

between 2 carbon atoms, forming a group of formula

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By alkylene, alkenylene, arylene and aralkylene containing a -CO- bridge is meant an alkylene,

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alkenylene, arylene or aralkylene radical wherein a group is present between 2 carbon atoms.

By electrophilic group Y is meant such functional groups as ammonium (R1)3N+ or phosphonium, (R1)3P+ groups, eventually substituted by alkyl groups R1. These alkyl groups may be themselves substituted by aromatic radicals, as defined herein. The electrophilic group Y is linked to the cyclic carbonate group through a methylene group.

Advantages obtainable by the claimed process include:

- An increase of reaction speed during the synthesis of (poly)urethanes by reacting (poly)amines with (poly)cyclocarbonates.
- Cheap, commercially available (poly)amines can be used for the synthesis of (poly)urethanes. These amines include JEFFAMINE, diethanolamine, piperazine,...
- Because the reaction speed is increased considerably, even hindered primary and secondary amines can be used in the synthesis.
- Shorter reaction times and lower curing temperatures also lead to an economical advantage.

Preferably, the component (A) contains at least one 5 membered cyclic carbonate group.

Preferred component (A) are chloride, bromide or iodide of 4- (trimethylammoniummethyl)-1;3-dioxolane-2-one, 4-(N-benzyl-N,N-dimethylammoniummethyl)-1,3-dioxolane-2-one and the tetracarbonate made starting from the tetracarbonate of metaxylylenediamine.

Such 5-membered cyclic carbonate compounds are very desirable because they can be easily prepared starting from cheap epoxides in a reaction with carbondioxide. A reaction of which is already known that it can be catalysed by a lithiumsalt : ref Kihara, N., Hara, N., Endo, T.; J. Org Chem., 1993, 58, 6198-6202.

Examples of useful epoxide compounds include, without limitation, epichlorohydrin, epibromohydrin. 2.3-epoxypropyl methacrylate, trans-2,3-cpoxy-1,3-diphenyl-1-propanone, (brominated) bisphenol A diglycidyl ether, methyl glycidate.

According to the invention, component (A) may be prepared by reacting compounds (A) where the electrophilic group Y is chloride or bromide or lodide with a nucleophilic compound such as a tertiary (trialkyl)amine, or a trialkyl phospline or phosphite. A catalyst may eventually be

used for accelerating the reaction. These catalysts are selected between quaternary ammonium and phosphonium salts such as tetra-n-but tammonium chloride, tetramethylammonium bromide, benzyltrimethylammonium chloride, tetra-ributylphosphonium bromide and the like.

Preferably, the component (B) contains at least one nucleophilic function X which is an amino group.

Preferably, component (B) is an aming of formula (IX), (X), (XI) or (XII)

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wherein

R33 is an alkyl, optionally substituted by anydroxy tertiary amine and/or aryl, and optionally containing from 1 to 20 ether widges and/or from 1 to 3 tertiary amine bridges, R<sup>34</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>15</sup> are, independently, chosen from the group of ·hydrogen. and

- ·alkyl, optionally substituted by hydroxy, tertiary amine and/or aryl, and optionally containing from 1 to 8 ether bridges and/or from 1 to 3 tertiary amine bridges. di/or from 1 to 3 tertiary amine bridges.
- with the proviso that, respectively,  $R^{34}$  and  $R^{6}$ ,  $R^{12}$  and/or  $R^{13}$  and/or  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  may be linked together in order to form a ring.

R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>17</sup> and R<sup>18</sup> are, independently chosen from alkylene, alkenylene, arylene and aralkylene chains which may contain from 1 to 8 other bridges and/or from 1 to 3 tertiary amine bridges,

R<sup>11</sup> is hydrogen or alkyi.

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In the amines of formula (IX) R<sup>33</sup> is materably an alkyl. optionally substituted by hydroxy, tertiary amine and/or aryl, and optanially containing from 1 to 20 ether bridges. Most preferably. R33 is chosen from the group of a cyl comprising up to 10 carbon atoms, optionally

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substituted by one hydroxy or tertiary amine and/or optionally containing one or two ether bridges. Non-limiting examples are R<sup>33</sup> substituents hosen from the group of n-propyl, isopropyl, n-butyl, isobutyl, sec-butyl, tert-butyl, n-octyl, 2-ethylhexyl, isononyl, cyclopentyl, cyclohexyl, 2-methylcyclohexyl, .N-(di-tert-butyllethyl, benzyl, 2-(2-hydroxyethoxy)ethyl, 5-hydroxypentyl, 2-hydroxyethyl, 2-hydroxypropyl, 3-hydroxypropyl, 3-(diethylamino)propyl, 2-(diethylamino)ethyl, -methyl 4-(diethylamino)butyl, 2-((di-tert-butyl)amino)ethyl, 3-(dimethylamino)propyl, 2-methoxyethyl, 2-ethoxyethyl, 3-methoxypropyl, 2-methoxypropyl, 3-(2-methoxyethoxy)propyl, 3-(2-ethylhexyloxy)propyl, CH<sub>3</sub>O(CH<sub>2</sub>CH<sub>2</sub>O)<sub>6</sub>-(Ch<sub>2</sub>CHR-Ch<sub>10</sub>-CH<sub>2</sub>-CH(CH<sub>3</sub>)- wherein R is H or CH<sub>3</sub> in a proportion of 1:9, ethyl, methyl, 1,2-dir ethylpropyl.

In the amines of formula (IX) R<sup>34</sup> is selerably chosen from the group of hydrogen and alkyl, optionally substituted by hydroxy, tend by amine or aryl, and optionally containing from 1 to 8 ether bridges. Most preferably, R<sup>34</sup> is chosen from the group of hydrogen and alkyl comprising up to 10 carbon atoms, optionally substituted by one hydroxy or tertiary amine and/or optionally containing one or two ether bridges. Non-limiting examples are R<sup>34</sup> substituents chosen from the group of hydrogen, ethel, n-propyl, isopropyl, n-hexyl, methyl, tert-butyl, n-butyl, isobutyl, n-octyl, 2-ethylocyl, 1,2 dimethylpropyl, cyclohexyl, 2-hydroxyisopropyl, 3-hydroxycopyl, 2 methoxyethyl, 3-(dimethylamino)propyl. In the amines of formula (X), (XI) and XIII) R<sup>5</sup> R<sup>6</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are

In the amines of formula (X), (XI) and XIII) R<sup>5</sup> R<sup>6</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup> are preferably, independently, chosen from the story of sydrogen and alkyl comprising up to 10 carbon atoms, most preferably up to 6 carbon atoms.

In the amines of formula (IX), (X), (X), and (XI) R<sup>33</sup> and R<sup>34</sup>, R<sup>5</sup> and R<sup>6</sup>, R<sup>12</sup> and/or R<sup>13</sup> and/or R<sup>14</sup>, R<sup>15</sup> and R<sup>16</sup>, respectively, may be inked together in order to form a ring. In this case, these substituents are preferably laked so that they form an alkylene chain comprising from 2 to 7 carbon atoms, and or ionally containing 1 or 2 ether bridges. In case of R<sup>33</sup> and R<sup>34</sup>, this alkylene chain is preferably such that a 5 to 7-membered ring is formed, for example a pyrolidine ring, a piperidine ring is a morpholine ring, which may further be substituted by alkyl groups. In case of R<sup>6</sup> art R<sup>6</sup>, this alkylene chain is preferably such that a 5 to 7-membered ring is formed, for example operation, which may further be substituted by alkyl groups.

In the amines of formula (X) R<sup>7</sup> is prescrably thosen from the group of alkylene and aralkylene chains, containing up to 20 carbs, atoms and which may contain from 1 to 8 ether bridges and/or from 1 to 3 tertiary amine by iges. Most preferably, R<sup>7</sup> is chosen from the group of ethylene, 1,2-propylene, trimethylene, hexamethylene, 2,2-dimethylpropylene, 1-methyltrimethylene, 1,2,3-trimethyltetrametrylene, 2,2methyl-pentamethylene, 2,2,4-(or 2,4,4-)trimethylhexamethylene, metaxylylene 3,5 5-trimethylcyclohexyl-1-ene-3-methylene, bis(cyclohexyl-4-ene)methane, bis(4-methylexa

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In the amines of formula (XI) R<sup>8</sup>, R<sup>9</sup> R to are preferably, independently, chosen from the group of alkylene, optionally containing from 1 to 8 ether bridges. Most preferably R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup> are chosen from alkylene comprising up to 15 carbon atoms and containing up to 5 ether bridges.

In the amines of formula (XII) R<sup>17</sup> and R<sup>18</sup> are preferably, independently, chosen from the group of alkylene. Most preferably R<sup>17</sup> and R<sup>18</sup> are chosen from alkylene comprising up to 6 carbon atoms.

In the amines of formula (XI) R<sup>11</sup> is referably hydrogen or an alkyl containing from 1 to 4 carbon atoms.

Amines of formula (IX), (X), (XI) and are known in the art. Amines of formula (IX) which are particularly useful in the process cording to the invention are n-propylamine, isopropylamine. n-butylamine, isobutylamine, sec-butylamine, tert-butylamine, 3methylbutylamine, n-hexylamine, n-octylami 2-ethylhexylamine, isononylamine. cyclopentylamine, cyclohexylamine, 2-methydyclohexylamine, N,N-(di-tertbutyl)ethyleneamine, benzylamine, 2-(2-amin thoxylethanol, 5-aminopentanol, ethanolamine, iethylamino)propylamine, 2-1-aminopropan-2-ol, 3-amino-1-propanol, 3 (diethylamino)ethylamine, 1-methyl-4-(dieth nino) jutylamine, 2,2-[di-tertbutylamino)ethylamine, 3-(dimethylamino)pi rylamine, 2-methoxyethylamine, 2ethoxyethylamine. 3-methoxypropylamine. ethoxylsopropylamine, 3-ethoxypropylamine, 3popylariine, 3-(2-ethylhexyloxy)propylamine, isopropoxypropylamine, 3-(2-methoxyethoxy (2-prepylamino)-poly(oxypropylene-copolyoxyalkylene amines such as α-oxymeth copylene oxide units of 9 and an average number oxyethylene) with an average number of 1.2 mine M-600 (manufactured by Hunstman), of ethylene oxide units of 7, also known as diethylamine, di-n-propylamine, diisopropylamine, di-n-hexylamine, N-methylbutylamine, Nethylbutylamine, di-n-butylamine, diisobuty nine, din-octylamine, bis(2-ethylhexy))amine, Nethyl-1,2-dimethylpropylamine, dicyclohexylmine, cyclohexylmethylamine, cyclohexylethylamine. N-methylbenzylamine methylaminoethanol. 2-ethylaminoethanol, 2anolanine, 3-(2-hydroxyethyl)aminopropanol, butylaminoethanol, diethanolamine, diisopri bis(2-methoxyethyl)amine. bis(3-dimethylam propyllamine, pyrolidine, piperidine, morpholine, 2.6-dimethylmorpholine.

Amines of formula (X) which are part in arily seful in the process according to the invention are ethylenediamine, 1,2-propylene tamine, trimethylenediamine, hexamethylenediamine, 2,2-dimethylpropantal 3-diamine, 1-methyl-1,3-propanediamine,

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1.2.3-trimethyl-1.4-butanediamine, 2-methy L5 diaminopentane, 2,2,4-(or 2,4,4-rediamine, 1-amino-3-aminomethyl-3,5,5 )trimethylhexamethylene diamine, metaxylyi trimethylcyclohexane (isophorone diamine) is (4-aminocyclohexyl)-methane. bis-(4-amino-3methyl-cyclohexyl)-methane, 1,3- cyclohexar diamine, 1,4-cyclohexanediamine, 1,4-Bis(3-aminopropoxy)butane diamine, N,N-bis(3-aminopropoxy)butane diaminopropoxy diamine, N,N-bis(3-aminopropoxy)butane diamine, N,N-性.7.1如trioxatildecan-1,13-diamine. 3.3'-(butane-1,4-diylbis(oxy))bispropaneaming polyoxyalkylene diamines such as α-amino (μ-butylamino)-poly(oxytetramethylene). αwith an everage number of 1.2-propylene oxide amino-w-(2-propylamino)-poly(oxypropylene imanufactured by Hunstman), α-amino-ω-(2units of 2.6, also known as Jeffamine D-23 propylamino)- poly(oxypropylene) with an average number of 1.2-propylene oxide units of 5.6, also known as Jeffamine<sup>9</sup> D-400 (manufactures by Heinstman), α-amino-ω-(2-propylamino)poly(oxypropylene-co-oxyethylene) with an a stage number of 1,2 propylene oxide units of 2.5 and ethylene oxide units of 8.5, also known as Jeffamine ED-600 (manufactured by Hunstman), 2.2-dimethyl-1.3-propanediamine, N,N-dimethyl-ethanediamine, N,N-dimethyl-1.3-dimethylhexyl-1.6-diamine, piperazine, 1.6-diamine, 1.6 propanediamine and 2.5-dimethylpiperazine

Amines of formula (XI) that are particularly discful in the process according to the invention is propoxylated trimethylopropane. Familie with an average number of number of 1.2-propylene oxide units of 5.3, also known as Jeffamine® T-403 (manufactured by Hunstman).

Amines of formula (XII) that are parts tharly useful in the process according to the invention are diethylenetriamine. N.N. dimet yildipro vienetriamine, bis(hexamethylene)triamine.

In an embodiment of the invention, contains only one primary or secondary amino group.

In other preferred embodiments of the prention component (B) is a compound containing at least two primary or secondary attaining groups. This permits to obtain dimers, oligomers and even polymers when rearted to a component (A) containing at least two linked carbonate rings.

Especially preferred are amines chose amongs cyclohexylamine, N-methylbutylamine, N-methylbenzylamine, piperidine, piperidine,

The component (B) can also be chosen among hydrazo compounds such as: hydrazine, mono, di and tri-substituted hydrazines H<sub>2</sub> = NHR<sup>29</sup> H<sub>2</sub>N-NR<sup>29</sup>R<sup>30</sup>, R<sup>29</sup>HN-NHR<sup>30</sup>, R<sup>29</sup>HN-NR<sup>30</sup>R<sup>35</sup> wherein R<sup>29</sup>, R<sup>30</sup> and R<sup>35</sup> are as somed in R<sup>93</sup>.

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The component (B) can also be classed entong livdroxy compounds such as (polyfunctional) alcohols, for example methat of ethanol, propanol, isopropanol, butanol,

phenol, butanediol, hexanediol, trimethylologopane, gentaerythritol, glucose, (poly)hydroxy functional oligomers or polymers such as possessers, polyethers, polycarbonates, polyurethanes, polybutadiene, acrylic polyologopanes, starch and the like.

It was found that the reaction tempers are between compounds (A) and (B) is not critical and can be comprised between 0 and 120°G; the amount of component (A) and component (B) in the process according to the invention is referable such that the molar ratio of cyclic carbonate groups to nucleophilic groups X is from 0.5 to 2. In case that a component (A) comprising only one cyclic carbonate groups accomponent (B) comprising only one nucleophilic group X is used, the ratio of an eart of such components is preferably such that an almost equivalent ratio of cyclic carbonate groups to nucleophilic groups X is obtained.

The reaction can be made with or with the solvent. When a solvent is used, it is preferably chosen among alcohol, ether, ester, dimethy or mamble, dimethylsulfoxide, N-methylpyrolidone and water.

The invention also extends to a polymer comprisition containing a nonisocyanate polymer comprising urethane. Ections -NCOO which contain ternary-substituted nitrogen and bear an hydroxy gray in beta-position (betahydroxyurethane).

The invention will now be illustrated to examples.

Example 1 :

Reaction of N-benzyldimethylamine with 4-calorometryl-1,3-dioxolan-2-one

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In a 200 ml flask were added N-benzyldimen ramine 60 gram), 4-chloromethyl-1,3-dioxolan-2-one (50 gram) and catalyst tetrabutylating at uniocide. (2 gram) The mixture is heated to 100°C. The reaction is followed by potentions in cally litrating the remaining amine. When the reaction is finished the precipitate is filtered reashed with diethylether and dried, affording 20 grams of product. The final product has a surbonate content of 3.6 mmol carbonate/gram (theory 3.7 mmol/gr) and a chlorine content of 12 % theory 13%)

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- 5 Example 2 and comparative example were 1 by the same general procedure.

  For example 2: 0.136g (0.0005 mole) of the same minimum uncarbonate prepared in example 1 was dissolved into 4 ml DMSO d6. Then 0.01 kg (0.00026 M) 2-methyl-1,5-pentanediamine is added and mixed together, just prior to take in the NMR spectrum. 600µL of this solution was transferred to the NMR tube.
- ecedure was used except that instead of the For the comparative example the same 10 carbonate of example 1 0.044g (0.0005 male of ethy) necarbonate was used The NMR-spectra were taken on a Bruker to Mrzespectrometer The integrated NMR-values observed and use for calculation the resulting wrethane are located at  $\delta = 7.55$  ppm and  $\delta = 5.6$  ppm at example 2, resp. the phenyl group of the carbonate of this invention and the CH2-0-1 2-NH and 15  $\mu$ up of the resulting urethane, and  $\delta = 0$ 4.95 ppm and  $\delta = 4.5$  ppm for the company of example, resp. the (CH2)2 signal of ethylenecarbonate and th #20-C NH group of the resulting urethane. e concentration for example 2. For comparison, Table 1 shows the decrease in cyclic carbon of this divention was replaced with the same reaction was run but the carbonal ethylenecarbonate. The time given is taken in the time of initial mixing of the components. 20

Example 2		İ	comparative example				
Carbonate of this invention			Ethylenecarbonate				
Time (min)	Relative fraction of	П	Time (min)	Relative fraction of			
	remaining carbonate			remaining carbonate			
6	90 ;			98.9			
60	65 !		60]	97.4			
960	30		1200	94.3			
1560	25		11800	91.3			
2460	19		2670	86.4			

Example 3

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0.05 gram of the carbonate of example 1 is a ced to ml of methanol. The reaction is monitored with NMR. The remaining fraction of carbonate is listed in table 2. As the
characteristic signal of the carbonate of example 1 at δ = 5.6 ppm is disappearing a new singlet at δ = 3.1 ppm appears. The cyclic carbonate seacts with the methanol and forms a linear carbonate as is evidenced by the NMR.

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	4		Щ	-	ļ	- 11	
Exam	H	Ή	ľ	i			
Carbonate of	þ	į.	þ	iven	H	្រា ្	
Time (min)	9		4	ative		action	of
	77	Ţ	H	ainin	g	carbona	ite
0				1	1	0	4
60				: .	1	Ð	
120				.	9	þ	

#### Example 4:

Example 4 was run by the same general procedure as example 2.

0.136g (0.0005 mole) of the ammonium care nate prepared in example 1 was dissolved into 4. ml deuterated methanol. Then 0.0300g (0.0 . 26 mde) 2-methyl-1.5-pentanediamine is added 10 and mixed together, just prior to taking NME spectrum. 600µL of this solution was transferred to the NMR tube.

The NMR-spectra were taken on a "Bruker" OMHz spectrometer

After 5 minutes the NMR spectrum shows in the characteristic signal for the ammonium

an extremely fast reaction. carbonate has almost completely disappeare 15

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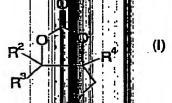
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1. Process of forming an organic compount; wherein

(a) a component (A) containing at least the cyclic carbonate group having the general formula (I):



wherein:

10 R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are, independently, chosend is hydrogen, aromatic radical, alkyl or alkenyl which contains from 0 to 8 ether bridges, and R<sup>2</sup> and or R<sup>3</sup> and/or R<sup>4</sup> may be substituted by one or more alkyl, alkenyl, aromatic radical hydroxyl group, cyclic carbonate group of formula (I), and wherein Y is an electrophilic group selected from ammonium and phosphonium, eventually substituted by all aromatic radicals, and wherein each R<sup>2</sup> and or R<sup>3</sup> and/or R<sup>4</sup> may be replaced by an Y group.

aromatic radicals, and wherein each R<sup>2</sup> and or R<sup>3</sup> and/or R<sup>4</sup> may be replaced by an Y group.

(b) is reacted with a component (B) containing a least one reactive nucleophilic function.

X wherein each X is, independently, chosen find a primary amino or hydrazo, secondary amino or hydrazo, thiol, hydroxy, and/one a

(c) to form an organic compound (C) core taining at least one unit of the general formula

- 2. Process according to claim 1, wherein the ponent (A) contains at least two carbonate cycles.
- 3. Process according to any of claims 1 on 5 wherein component (A) is chosen from 4
  (trimethylammoniummethyl)-1,3-dioxolair 2-one 4-(N-benzyl-N,N
  dimethylammoniummethyl)-1,3-dioxolair 2-one and the tetracarbonate made starting from the tetraglycidylether of metaxylylened at the
- 4. Process according to any preceding claim wherein component (B) contains at least one nucleophilic function X which is an amort spoup.

5. Process according to claim 4, wherein constant (B) is an amine of formula (IX). (XI) or (XII)

$$R^{33}$$
  $R^{5}$   $R^{6}$   $R^{6}$   $R^{10}$   $R^{10}$   $R^{10}$   $R^{14}$   $R^{14}$   $R^{14}$ 

wherein

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R<sup>33</sup> is an alkyl, optionally substituted by tydroxy tertiary amine and/or aryl, and optionally containing from 1 to 20 ether to eges and/or from 1 to 3 tertiary amine bridges. R<sup>34</sup>, R<sup>5</sup>, R<sup>6</sup>, R<sup>12</sup>, R<sup>13</sup>, R<sup>14</sup>, R<sup>15</sup> and R<sup>5</sup>, are, interpendently, chosen from the group of hydrogen, and

- alkyl, optionally substituted by hydres to thany amine and/or aryl, and optionally containing from 1 to 8 ether bridges and optional 1 to 3 tertiary amine bridges.
- containing from 1 to 8 ether bridges, with the proviso that, respectively, R and  $R^4$ ,  $R^5$  and  $R^6$ .  $R^{12}$  and/or  $R^{13}$  and/or  $R^{14}$ ,  $R^{15}$  and  $R^{16}$  may be linked together in order to form a ring,

R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, R<sup>10</sup>, R<sup>17</sup> and R<sup>18</sup> are, indep excently chosen from alkylene, alkenylene, arylene and aralkylene chains which may contain from 1 to 8 ether bridges and/or from 1 to 3 tertiary amine bridges,

R<sup>11</sup> is hydrogen or alkyl.

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- 6. Process according to claims 4 or 5, where the component (B) contains at least two primary or secondary amino groups.
- 7. Process according to claims 4 to 6, where recomponent (B) is an amine chosen amongst cyclohexylamine, N-methylbutylamine, N settlylbrinzylamine, piperidine, piperazine, morpholine, benzylamine, diethylenetras, tae, ethenolamine, diethanolamine and polyoxyalkylene amines and diamines.

- 8. Process according to any preceding claim the reaction temperature is comprised between 0 and 120°C.
- 9. Process according to any preceding claim wherein the amount of component (A) and component (B) are such that the molarization cyclic carbonate groups to nucleophilic groups X is from 0.5 to 2.
- 10. Process according to any preceding claim wherein the reaction is made in a solvent chosen among: alcohol, ether, ester, dimethylion sande. and water.
  - 11. Process according to any preceding claim, wherein component (A) is prepared by reacting compounds (A) where the electrophine graph is chloride or bromide or iodide with a nucleophilic compound such as a tertian is talkyl amine, or a trialkyl phosphine or phosphite.

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The present invention relates to a process we stime exclic carbonate compound bearing an electrophilic group is reacted with a compour the containing a nucleophilic group, especially an amine group. Such reactions permit to obtain the charge groups useful in polymer preparation, such as polymerhanes, or other urethane-call terming polymers.



22.31.EP

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EUROPEAN PATENT OFFICE

D- 80298 MÜNCHEN (Germany)

Our ref.:

Case 22.31.EP

IPD/0304-115

Bruseds, April the 24th, 2003.

Your ref.:

Re: Filing of a new European Patent Application.

Dear Sirs.

Please find herewith enclosed the following documents, necessary for filing a new European Patent Application:

- Form 1001 « Request for grant of a European patent » :
- Form 1002 "Designation of Inventor"
- General Authorization No. 40404;
- 1 paper copy of description (12 pages), claims (3 pages) and abstract (1 page).

Best regards,

François ROELANTS

Enclosures (as mentioned)

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